



FORM PTO-1449 (Mod. 10-97) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	ATTY. DOCKET NO. YO YOR919980460US2	SERIAL NO.: 09/989,770
	APPLICANT: Jack O. Chu et al.	
(Use several sheets if necessary)	FILING DATE: November 20, 2001	GROUP: 2815

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
NDN	AA	5,019,882	05/28/1991	Solomon et al.	357	23.8	05/15/1989
NDN	AB	5,298,452	03/29/1994	Meyerson	437	81	02/21/1992
NDN	AC	5,659,187	08/19/1997	Legoues et al.	257	190	06/07/1995
NDN	AD	5,259,918	11/09/1993	Akbar et al.	156	610	06/12/1991
NDN	AE	5,442,205	08/15/1995	Brasen et al.	257	191	08/09/1993
NDN	AF	5,436,474	07/25/1995	Banerjee et al.	257	194	12/07/1994
NDN	AG	5,821,577	10/13/1998	Crabbe' et al.	257	288	11/30/1992
NDN	AH	5,221,413	06/22/1993	Brasen et al.	156	613	04/24/1991

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
	AI						
	AJ						

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)							
NDN	AK	People et al. "Band Alignments Of Coherently Strained Ge _x Si _{1-x} /Si Heterostructures on <001> Ge _y Si _{1-y} Substrates" Appl. Phys. Lett. 48 (8); February 24, 1986; pp. 538-540.					
NDN	AL	Rodder et al. "A 1.2 V, 0.1μm Gate Length CMOS Technology; Design And Process Issues"; IEDM Tech. Digest, 98-623; 1998.					
NDN	AM	Arafa "A 70GHz Low Operating Bias Self-Aligned p-Type SiGe MODFET"; IEEE Elec. Dev. Lett. Vol. 17 (12); December 1996; pp. 586-588.					

EXAMINER <i>NDN</i>	DATE CONSIDERED <i>6/26/07</i>
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATION OF TRADEMARKS APPLICANT'S INFORMATION DISCLOSURE STATEMENT				ATTY. DOCKET NO. YO YOR919980460US2		SERIAL NO.: 09/989,770	
				APPLICANT: Jack O. Chu et al.			
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REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
WST	BA	5,241,197	08/31/1993	Murakami et al.	257	192	09/13/1991
NOM	BB	5,686,744	11/11/1997	Koviac	257	280	06/17/1996
WST	BC	5,357,119	10/18/1994	Wang et al.	257	18	02/19/1993
NOM	BD	4,994,866	02/19/1991	Awano	357	16	01/05/1989
NOM	BE	5,285,088	02/08/1994	Sato et al.	257	192	09/16/1992
NOM	BF	5,350,940	09/27/1994	Rona	257	402	12/06/1991
NOM	BG	5,036,374	07/30/1991	Shimbo	357	23.2	04/11/1988
NOM	BH	5,561,302	10/01/1996	Candelaria	257	24	09/26/1994
NOM	BI	5,223,724	06/29/1993	Green, Jr.	257	194	03/13/1992
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
	BJ						YES NO
	BK						
	BL						
OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)							
	BO						
	BP						
	BQ						
EXAMINER	<i>WST</i>			DATE CONSIDERED <i>5/26/03</i>			
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EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
<i>TOP</i>	CA	5,698,869	12/16/1997	Yoshimi et al.	257	192	09/13/1995
<i>NP</i>	CB	5,216,271	03/01/1993	Takagi et al.	257	370	09/27/1991
<i>NP</i>	CC	5,272,365	12/21/1993	Nakagawa et al.	257	194	05/26/1992
<i>SP</i>	CD	5,726,487	03/10/1998	Sameshima et al.	257	616	10/28/1994
<i>NP</i>	CE	5,665,981	09/09/1997	Banerjee et al.	257	66	11/22/1996
<i>NP</i>	CF	5,461,250	10/24/1995	Burghartz et al.	257	347	08/10/1992
<i>NP</i>	CG	5,451,800	09/19/1995	Mohammad	257	192	08/18/1994
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
	CH						YES NO
	CI						
	CJ						
OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)							
	CQ						
	CR						
	CS						
EXAMINER <i>Jack Chu</i>				DATE CONSIDERED <i>1/26/02</i>			
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WAN	DA	5,534,713	07/09/1996	Ismail et al.	257	24	05/20/1994
WAN	DB	6,059,895	05/09/2000	Chu et al.	148	33.1	05/13/1999
WAN	DC	5,523,592	06/04/1996	Nakagawa et al.	257	96	02/01/1994
	DD						
	DE						
	DF						
	DG						
	DH						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
WAN	DI	JP 361294877	December 1986	Japan	257	18		
	DJ							
	DK							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	DL		
	DM		
	DN		

EXAMINER	<i>WAN</i>	DATE CONSIDERED	<i>6/26/03</i>
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